# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John McCafferty, et al. Title: METHOD FOR PRODUCING

App. No.: 10/803,622 MEMBERS OF SPECIFIC

**BINDING PAIRS** 

Conf. No.: 6206 Art Unit: 1639

Filing Date: March 18, 2004 Examiner: Amber D. Steele

# RENEWED PETITION TO EXPUNGE PURSUANT TO 37 C.F.R § 1.59 DOCUMENTS SUBMITTED IN AND INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97-1.99

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully submit a renewed petition requesting that the documents submitted with the Supplemental Information Disclosure Statement transmitted on October 20, 2004 be expunged. We attach a copy of the Petition Decision dated May 6, 2005 indicating upon termination of prosecution the applicant should submit a renewed petition to expunge the proprietary material. The Examiner has issued a Notice of Allowance for U.S. Application No. 10/803,622 dated November 5, 2009 (copy attached).

For your convenience, Applicants enclose copies of the documents transmitted to the U.S. Patent Office on October 20, 2004:

- Petition to Expunge Under 37 C.F.R.§ 1.59(b),
- Submission of Protective Order Materials Under MPEP § 724,
- Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. §§ 1.56,

1.97 and 1.98, and

• PTO/SB/08A

The cited references subject to the protective order were transmitted in a sealed envelope and marked as follows:

#### MATERIAL SUBJECT TO PROTECTIVE ORDER

NOT OPEN TO PUBLIC. TO BE OPENED BY EXAMINER EXAMINER OR OTHER AUTHORIZED U.S. PATENT AND TRADEMARK OFFICE EMPLOYEE:

Tribunal Issuing Protective Order: U.S. District Court for the District of

Columbia, Judge James Robertson

Civil Action No.: 1:00 CV 00146
Date of Order: March 13, 2002
Status of Proceedings: Not pending
In Re Application of: McCafferty, et al.

**Application No.** 09/416,902

Filed: October 13, 1999

For: METHODS FOR PRODUCING MEMBERS

OF SPECIFIC BINDING PAIRS

Group Art Unit: 1639

**Examiner:** Amber D. Steele

Pursuant to 37 C.F.R. § 1.59, Applicants respectfully request that the documents submitted on October 20, 2009 be expunged from the record and returned to the undersigned attorney as the status of the application is now allowed.

As indicated on the attached Petition Decision dated May 6, 2005, no fees are believed to be due in connection with the filing of this renewed petition as the petition fee was previously paid on October 27, 2004. However, should any additional fees be deemed necessary, and proper, the Commissioner is hereby authorized to deduct any such fees from Deposit Account 08-3038.

Respectfully submitted,

**HOWREY LLP** 

Dated: December 30, 2009

By: David W Clouch

Registration No.: 36.107 Customer No.: 22930

Telephone No.: (312) 595-1408

**HOWREY LLP** 

ATTN: Docketing Department 2941 Fairview Park Drive, Suite 200

Falls Church, VA 22042-2924 Facsimile No.: (703) 336-6950

#### UNITED STATES PATENT AND TRADEMARK OFFICE



MAY \_ 6 2005

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

HOWREY, SIMON, ARNOLD & WHITE, LLP 321 CLARK STREET, SUITE 3400 CHICAGO, IL 60661

In re Application of J. McCafferty et al

Serial No.: 10/803,622 : PETITION DECISION

Filed: March 18, 2004

Attorney Docket No.: 05569.0004

This is a response to the petition under 37 CFR 1.59(b), filed October 26, 2004, to expunge information from the above identified application.

Petitioner requests that documents submitted in an Information Disclosure Statement (IDS), filed October 26, 2004, be expunged from the record. Petitioner states either: (A) that the information contains trade secret material, proprietary material and/or material that is subject to a protective order which has not been made public; or (B) that the information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to the party who submitted the information or to the party in interest on whose behalf the information was submitted, and the information has not otherwise been made public. The petition fee set forth in 37 CFR 1.17(h) has been paid.

This is an unexamined application. The examiner has not had an opportunity to review the submitted material to determine its pertinence to patentability of the application. Accordingly, it is not appropriate to make a final determination of whether or not the material requested to be expunged is "material," with "materiality" being defined as any information which the examiner considers as being important to a determination of patentability of the claims.

During prosecution on the merits, the examiner will determine whether or not the identified document is considered to be "material." If the information is not considered by the examiner to be material, the information will be returned to applicant.

The petition to expunge is at this time **DISMISSED**.

Upon termination of prosecution of the application applicants should submit a renewed petition to expunge the proprietary material. No fee will be due for the renewed petition.

Should there be any questions about this decision please contact William R. Dixon, Jr., by letter addressed to Director, TC 1600, at the address listed above, or by telephone at 571-272-0519 or by facsimile sent to the general Office facsimile number 571-273-8300.

Jasemine C. Chambers

Director, Technology Center 1600

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. 1450 Alexandria. Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

11/05/2009

HOWREY SIMON ARNOLD & WHITE, LLP Attention: Box No. 34 1299 Pennsylvania Avenue, N.W. Washington, DC 20004-2402 EXAMINER

STEFLE, AMBER D

ART UNIT PAPER NUMBER

1639

DATE MAILED: 11/05/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,622	03/18/2004	John McCafferty	05569.0004.DVUS11	6206

TITLE OF INVENTION: METHODS FOR PRODUCING MEMBERS OF SPECIFIC BINDING PAIRS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	S0	\$1810	02/05/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

indicated unless correct maintenance fee notifica	ed below or directed oth	ng the Patent, advance of nerwise in Block 1, by (	a) specifying a new c	of m orres	naintenance fees w pondence address;	ill be and/or	mailed to the current (b) indicating a sepa	correspon rate "FEF	dence address as ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use BI			Note Fee(. pape have	: A certificate of s) Transmittal. Thi rs. Each additiona its own certificate	mailing s certif l paper of mai	can only be used for icate cannot be used for such as an assignmenting or transmission.	r domestic or any oth nt or form	mailings of the er accompanying al drawing, must
Attention: Box I 1299 Pennsylva	nia Avenue, N.W.			Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the U States Postal Service with sufficient postage for first class mail in an envaddressed to the Mail Stop ISSUE FEE address above, or being fact transmitted to the USPTO (571) 273-2885, on the date indicated below.					I with the United il in an envelope being facsimile ed below.
Washington, DC	. 20004-2402								(Depositor's name)
				_					(Signature)
									(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIR	MATION NO.
10/803,622 TITLE OF INVENTION	03/18/2004 J: METHODS FOR PRO	DUCING MEMBERS O	John McCafferty F SPECIFIC BINDIN		IRS	055	69.0004.DVUS11		6206
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	T	DATE DUE
nonprovisional	NO	\$1510	\$300		\$0		\$1810		12/05/2010
EXAM	MINER	ART UNIT	CLASS-SUBCLASS						
STEELE,	AMBER D	1639	506-009000						
"Fee Address" ind PTO/SB/47; Rev 03-( Number is required.	AND RESIDENCE DATA	" Indication form	•	inativ single or a attor II be   or typ	rely, firm (having as a gent) and the nameneys or agents. If printed.	memb es of u no nam	er a 2p to e is 3		
4a. The following fee(s)  Issue Fee Publication Fee (1)	riate assignee category or	permitted)	b. Payment of Fee(s):  A check is enclose Payment by credital The Director is he	(Pleased.	Individual	orporation by previous is attage the	on or other private gro	shown abo	ove)
a. Applicant claim	ntus (from status indicatens SMALL ENTITY state	us. See 37 CFR 1.27.	☐ b. Applicant is no	long	ger claiming SMAI	L EN	FITY status. See 37 C	FR 1.27(g)	)(2).
NOTE: The Issue Fee ar interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be accepte ttes Patent and Trademarl	ed from anyone other t k Office.	nan ti	ne applicant; a regi	stered :	attorney or agent; or the	ne assignee	or other party in
Authorized Signature					Date		**************************************		
Typed or printed nam					Registration N				
Mexandria, virginia 22.	nation is required by 37 C ntiality is governed by 35 d application form to the ions for reducing this bu Virginia 22313-1450. DC 313-1450. eduction Act of 1995, no	FR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will var- rden, should be sent to the O NOT SEND FEES OR persons are required to re-							SPTO to process) g, preparing, and quire to complete Commerce, P.O. s, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,622	03/18/2004	John McCafferty	05569.0004,DVUS11	6206
75	90 11/05/2009		EXAM	INER
HOWREY SIMO	N ARNOLD & WHIT	E, LLP	STEELE, A	AMBER D
Attention: Box No.	• • •		ART UNIT	PAPER NUMBER
1299 Pennsylvania Washington, DC 20			1639 DATE MAILED: 11/05/200	9

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 11 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 11 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
Notice of Allowshills	10/803,622	MCCAFFERTY ET A	AL.
Notice of Allowability	Examiner	Art Unit	
	AMBER D. STEELE	1639	
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this apport or other appropriate communication GHTS. This application is subject to	plication. If not include will be mailed in due	ed course. <b>THIS</b>
1. This communication is responsive to <u>August 12, 2009</u> .			
2. The allowed claim(s) is/are 9-17.			
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority unally All b)  Some* c) None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> <li>3.  Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> <li>Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> </ul>	been received.  been received in Application No. 05 cuments have been received in this of this communication to file a reply	national stage applicat	
<ul> <li>4. A SUBSTITUTE OATH OR DECLARATION must be submined in the Information (PTO-152) which gives the I</li></ul>	es reason(s) why the oath or declarate to be submitted. son's Patent Drawing Review (PTO s Amendment / Comment or in the C .84(c)) should be written on the drawing the header according to 37 CFR 1.121(sit of BIOLOGICAL MATERIAL research	etion is deficient.  948) attached  Office action of the front (not the d).  must be submitted. N	back) of
<ul> <li>Attachment(s)</li> <li>1.  Notice of References Cited (PTO-892)</li> <li>2.  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3.  Information Disclosure Statements (PTO/SB/08),</li></ul>	5. ☐ Notice of Informal F 6. ☒ Interview Summary Paper No./Mail Da 7. ☒ Examiner's Amendr 8. ☒ Examiner's Stateme 9. ☐ Other	(PTO-413), te <u>20091001</u> . ment/Comment	wance

	Application No.	Applicant(s)	
Examiner-Initiated Interview Summary	10/803,622	MCCAFFERTY ET AL.	
Examiner initiated interview duminary	Examiner	Art Unit	
	AMBER D. STEELE	1639	
All Participants:	Status of Application	Allowed	
(1) AMBER D. STEELE.	(3)		
(2) <u>Kate Berezutskaya</u> .	(4)		
Date of Interview: <u>1 October 2009</u>	Time: <u>3PM</u>		
Type of Interview:  ☐ Telephonic ☐ Video Conference ☐ Personal (Copy given to: ☐ Applicant ☐ Appl  Exhibit Shown or Demonstrated: ☐ Yes ☐ No If Yes, provide a brief description:	icant's representative)		
Part I.			
Rejection(s) discussed:  N/A			
Claims discussed: 1-8			
Prior art documents discussed: N/A			
Part II.			
SUBSTANCE OF INTERVIEW DESCRIBING THE GEN Kate Berezutskaya authorized the examiner's amendment to d		WAS DISCUSSED:	
Part III.			
<ul> <li>☑ It is not necessary for applicant to provide a separate directly resulted in the allowance of the application. of the interview in the Notice of Allowability.</li> <li>☑ It is not necessary for applicant to provide a separate did not result in resolution of all issues. A brief summ</li> </ul>	The examiner will provide a secord of the substance of	written summary of the substand the interview, since the intervie	се
/Amber D. Steele/			
Primary Examiner, Art Unit 1639	(Applicant/Applicant's Repres	entative Signature – if appropriate)	

Art Unit: 1639

**EXAMINER'S AMENDMENT** 

An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with

Kate Berezutskaya on October 1, 2009.

The application has been amended as follows:

Claims 1-8 are canceled.

The following is an examiner's statement of reasons for allowance: a method of

producing a population of filamentous bacteriophage particles displaying at their surface a

binding domain consisting of an antibody heavy chain variable domain with the structure of

FR1-CDR1-FR2-CDR2-FR3-CDR3-FR4 and selecting for a filamentous bacteriophage particle

displaying a binding domain by contact with an epitope or antigen is free of the prior art.

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

#### Future Communications

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AMBER D. STEELE whose telephone number is (571)272-5538. The examiner can normally be reached on Monday through Friday 9:00AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low can be reached on 571-272-0951. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Amber D. Steele/ Primary Examiner, Art Unit 1639



Docket No.: 05569.0004.DVUS11

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

McCafferty, et al.

App. No.:

10/803,622

Conf. No.:

6206

Filing Date:

March 18, 2004

Title:

METHODS FOR PRODUCING

MEMBERS OF SPECIFIC BINDING

**PAIRS** 

Art Unit:

1639

Examiner:

Not yet assigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria,

VA 22313-1450, on this date

10/20/2004

Date

Registration No. 53

# PETITION TO EXPUNGE UNDER 37 C.F.R. § 1.59(b)

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The documents submitted on the Supplemental Information Disclosure Statement filed herewith under MPEP § 724.02 are subject to a protective order issued by the U.S. District Court for the District of Columbia in Civil Action No. 1:00 CV 00146. Pursuant to 37 C.F.R. § 1.59, Applicants request that if the documents are not found to be important to a reasonable examiner in deciding whether to allow the above-identified application to issue as a patent, the documents be returned.

Submitted herewith is a fee of \$130 as set forth in 37 C.F.R. § 1.17(f). No other fees are believed to be due in connection with the filing of this paper, however, should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this paper,

10/27/2004 HVUONG1 00000013 083038 10803622

01 FC:1460

130.00 DA

Application No.: 10/803,622 Docket No.: 05569.0004.DVUS11

the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 08-3038.

By:

Respectfully submitted,

HOWREY SIMON ARNOLD & WHITE, LLP

Dated: October 20, 2004

(312) 595-2250 (fax)

Registration/No.: 53,573

Customer No.: 22930

HOWREY SIMON ARNOLD & WHITE, LLP 321 N. Clark Street, Suite 3400 Chicago, IL 60661 (312) 595-1239 (main) (312) 846-5621 (direct)



OCT 2 6 2004 5

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): McCafferty, et al.

App. No.: 10/803,622

Conf. No.: 6206

Filing Date: March 18, 2004

Title: METHODS FOR PRODUCING

MEMBERS OF SPECIFIC BINDING

**PAIRS** 

Art Unit: 1639

Examiner: Not yet assigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450, on this date

10/20/04

Date

Registration No. 53,573

#### SUBMISSION OF PROTECTIVE ORDER MATERIALS UNDER MPEP § 724

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Applicants submit herewith documents subject to a protective order for consideration under MPEP § 724. In compliance with MPEP § 724, the documents subject to a protective order are attached in a sealed envelope, with the envelope and each document marked as follows:

MATERIAL SUBJECT TO PROTECTIVE ORDER - NOT OPEN TO PUBLIC TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED U.S. PATENT AND TRADEMARK OFFICE EMPLOYEE:

#### >DO NOT SCAN<

Tribunal Issuing Protective Order: U.S. District Court for the District of Columbia,

Judge James Robertson

Proceeding: MORPHOSYS AG V. CAMBRIDGE

ANTIBODY LIMITED

Civil Action No.: 1:00 CV 00146

Date of Order: March 13, 2002

Status of Proceedings: Dismissed - Settled

In Re Application of:

J. McCafferty, et al.

Application No. 10/803,622

Filed: March 18, 2004

DM\_US\8067304.v1

Application No.: 10/803,622 Docket No.: 05569.0004.DVUS11

For:

METHODS FOR PRODUCING MEMBERS OF

SPECIFIC BINDING PAIRS

Art Unit:

1639

Examiner:

Not yet assigned

Submitted herewith is the following: (i) Supplemental Information Disclosure Statement Pursuant To 37 C.F.R. §§ 1.56, 1.97 and 1.98; and (ii) Petition under 37 C.F.R. § 1.59(b) together with the requisite fee of \$130, as set forth in 37 C.F.R. § 1.17(f). No other fees are believed to be due in connection with the filing of this paper, however, should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this paper, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 08-3038.

Respectfully submitted,

HOWREY SIMON ARNOLD & WHITE, LLP

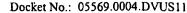
Dated: October 20, 2004

By:

Teddy C. Scott Vr. Pb.D. Registration Nov. 53,573

Customer No.: 22930

HOWREY SIMON ARNOLD & WHITE, LLP 321 N. Clark Street, Suite 3400 Chicago, IL 60661 (312) 595-1239 (main) (312) 846-5621 (direct) (312) 595-2250 (fax)





#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

McCafferty, et al.

App. No.:

10/803,622

Conf. No.:

6206

Filing Date:

March 18, 2004

Title:

METHODS FOR PRODUCING

MEMBERS OF SPECIFIC BINDING

**PAIRS** 

Art Unit:

1639

Examiner:

Not yet assigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. Low 150 Alexandria,

VA 22313-1450, on this date

10/20/04

Date

Teddy C. Scott Jr., Ph.D. Registration No. 53,573

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

In compliance with 37 C.F.R. § 1.97 and the continuing duty of disclosure under 37 C.F.R. § 1.56, Applicants call to the attention of the Examiner the references listed on the attached Forms PTO/SB/08A and PTO/SB/08B. It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Forms PTO/SB/08A and PTO/SB/08B be considered by the Examiner and made of record.

The documents were previously listed on the Form PTO/SB08A submitted on March 18, 2004 as C5, C15, C20, C21, C22, C23, C23A and C24. Copies of the documents were previously submitted in Application No. 09/726,219 filed November 28, 2000 (the "Priority Application"), from which the instant application relies upon for an earlier effective filing date under 35 U.S.C. § 120. A petition to expunge the documents in the Priority Application was granted on June 9, 2004. In view of the documents being expunged in the Priority Application, Applicants hereby submit Form PTO/SB/08A listing the documents together with copies of the documents pursuant to 37 C.F.R. § 1.98(a)(2). For the convenience of the Examiner, the documents are listed on the Form PTO/SB/08A submitted herewith in a manner consistent with that of the Form PTO/SB08A submitted on March 18, 2004.

Application No.: 10/803,622 Docket No.: 05569.0004.DVUS11

In accordance with 37 C.F.R. § 1.97(g), this Information Disclosure Statement is not to be construed as a representation that a search has been made. In accordance with 37 C.F.R. § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of a first Office Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b)(3).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 08-3038.

Respectfully submitted,

HOWREY SIMON ARNOLD & WHITE, LLP

Dated: October 20, 2004

By:

Teddy C. Scott, Jr., Ph.D. Registration No.: 53,573

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PTO/SB/08A (10-01)

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Sheet \_1 of \_2

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C21	(Redacted).	(of Cambridge Antibody T	echnology Limited) to Sean V	Valton (of Mewburn	n Ellis) rega	rding	
	the Smith grant application						
C22	E-mail message from Johr	n McCafferty (of Cambridg	e Antibody Technology Limite	ed) to Brian Kay reg	garding the	Smith	<u> </u>
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C23	Letter to Craig Panner (of	the Academic Press) from	John McCafferty (Cambridge	Antibody Technol	ogy Limited	) regardi	ng the
	Smith grant application						
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FXAMINE	R: Initial if citation considered,	whether or not citation	is in conformance with MP	PEP § 609; Draw lin	ne through	citation	if not in
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Sheet 2 of <u>2</u>

Substitute f	or form 1449A/PTO	Docket Number 05569.0004.DVUS11	Application Number 10/803,622	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant J. McCafferty et al.		
(Use several	sheets if necessary)	Filing Date March 18, 2004	Group Art Unit 1639	
OTHER D	OCUMENTS (Including Author, Title, Date,	Pertinent Pages, Etc.)		
C23A	Fax to John McCafferty, Ph.D. (of Cambridge	Antibody Technology Limited) from Craig	Panner (of Academic Press)	
	dated June 10, 1996.			
C24	Smith, G. P., "1988 - A Year of Discovery", Pho	ge Display of Peptides and Proteins. Acad	emic Press, Inc. (1996),	
	pp. xvii-xix.			
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conformance and not considered. Include copy of this form with next communication to the applicant.